

Moduli space of a
certain class of
meromorphic functions
and its
compactification

PLAN

- 1) (Hermitian) connections
 - 2) Poincaré II connections and the non-compact moduli space
 - 3) Compactification
-

1) Hermitian connections

Def: A Hermitian connection (E, ∇) is the data of

- $E \rightarrow X$ a holomorphic vector bundle.
- $\nabla: E \rightarrow E \otimes \Omega_X^1(\Delta)$ a

sheaf of
holomorphic
sections of E

sheaf morphism satisfying the
Leibnitz rule

let us try to better understand the def:

- $E \rightarrow X$ hol vector bundle $\Rightarrow$
 $\exists$ U_i trivialising open cover s.t. $E|_{U_i} \cong U_i \times \mathbb{C}^r$
and the transition functions $\{g_{ij}\}$ are holom.
- $\mathcal{E}$ is the sheaf of sections.
 $\mathcal{E}(U) = \{ \gamma : U \rightarrow U \times \mathbb{C}^2 \text{ section of } E \}$
- $\Omega'_X(D) = \Omega'_X \otimes \mathcal{O}_X(D)$ is the "sheaf of differential forms on X with coefficients meromorphic functions on X with poles prescribed by D "
- Leibnitz rule. $\mathcal{E}(U)$ is an $\mathcal{O}_X(U)$ -module and it holds that

$$\nabla(f\gamma) = df \cdot \gamma + f \cdot \nabla \gamma$$

For all the torus : $X = \mathbb{P}_{\mathbb{C}}^1$, $\text{rk } E = 2$

Example (Connection on the trivial bundle)

let $E := \mathbb{C}^2 \times \mathbb{P}^1$ and (∇, E) a connection.

Fact: There exist a unique Δ true only because E is the trivial bundle

$$\Omega \in \mathfrak{gl}_2(\Omega^1(D)) \text{ s.t.}$$

$$\nabla = d + \Omega$$

Since E is trivial, we have global sections and

$$\nabla Y = dY + \Omega Y = \begin{pmatrix} dy^1 \\ dy^2 \end{pmatrix} + \begin{pmatrix} \omega_{11} & \omega_{12} \\ \omega_{21} & \omega_{22} \end{pmatrix} Y$$

with $\omega_{ij} \in \Omega^1(D) \cong \Omega^1 \otimes \mathcal{O}(D)$

Fact: Connections on the trivial bundle are system of ODEs ($dY + \Omega Y = 0$)

Example (Euler system: $D = [0] + [\infty]$)

$E \cong \mathbb{C}^2 \times \mathbb{P}^1$ trivial bundle and

$$\nabla = d + \Omega = d + \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \frac{dx}{x}$$

i.e. $\omega_{11} := \lambda_1 \frac{dx}{x}$, $\omega_{22} := \lambda_2 \frac{dx}{x}$, $\omega_{21} = \omega_{12} = 0$

Since $D = [0] + [\infty]$ we expect ∇ to have a simple pole in 0 and one at $\infty \in \mathbb{P}^1$

We see the pole in 0, to see the one at ∞ we have to change variable on $\mathbb{P}^1$ $z \rightarrow \frac{1}{z}$

$$\nabla_{\text{new}} d + \begin{pmatrix} \lambda_2 & 0 \\ 0 & \lambda_1 \end{pmatrix} \frac{d(\frac{1}{z})}{\frac{1}{z}} = d - \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \frac{dz}{z}$$

What if $E \rightarrow \mathbb{P}^1$ is not trivial??

E is still locally trivial on $\{U_0, U_\infty\}$ cover of $\mathbb{P}^1$

$$E|_{U_0} \cong \mathbb{C}^2 \times \mathbb{P}^1 \cong E|_{U_\infty}$$

$$\begin{array}{c} \nwarrow \quad \nearrow \\ g_{0\infty}^{\pm 1} \end{array}$$

In particular $\nabla_{U_0} = d + \Omega_0$, $\nabla_{U_\infty} = d + \Omega_\infty$
with gluing rule

$$\Omega_0 = g_{0\infty}^{-1} \Omega_\infty g_{0\infty} + g_{0\infty}^{-1} d g_{0\infty}$$

Example If $E \cong \mathcal{O}(k_1) \oplus \mathcal{O}(k_2)$ then

$$g_{0\infty} = \begin{pmatrix} z^{k_1} & 0 \\ 0 & z^{k_2} \end{pmatrix}$$

Parallel sections,

A local section $\gamma \in E(U)$ is ∇ -parallel if

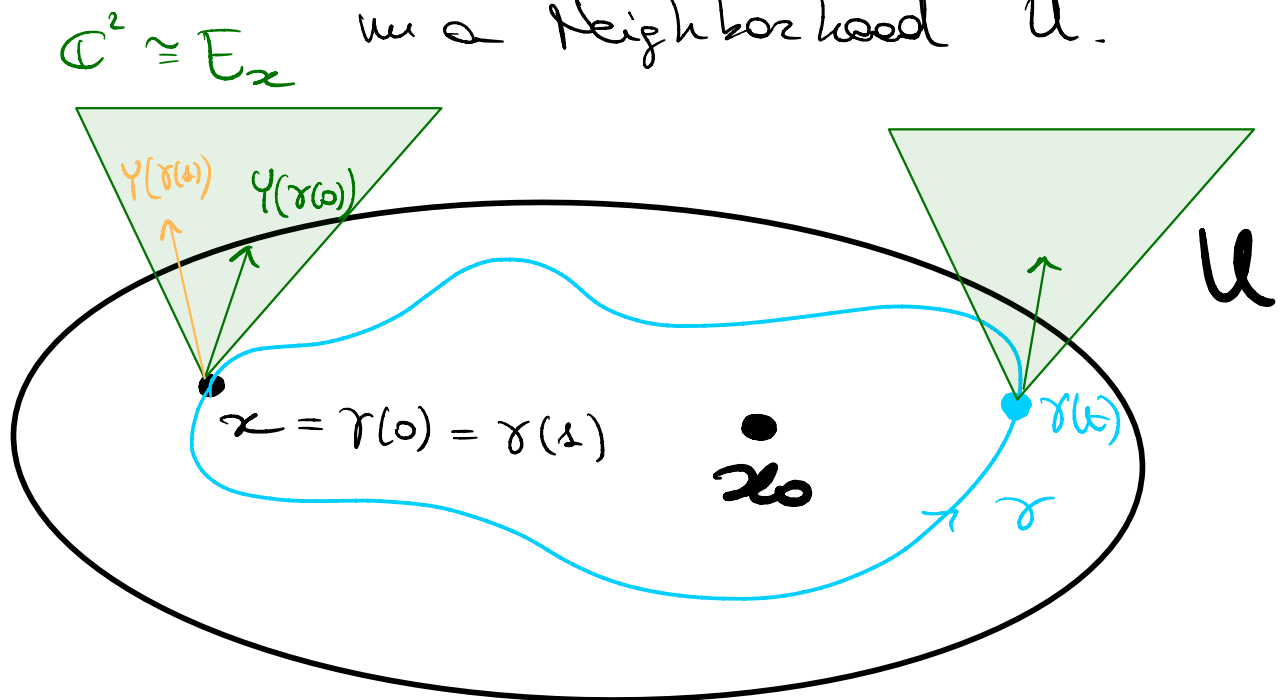
$$\nabla \gamma = 0 = d\gamma + \mathcal{L}_U \gamma$$

Then: For each U trivialising (∇, E) , there exist a basis of ∇ -parallel sections

Example: for an Euler system a basis of parallel sections in U_0 is

$$\gamma(x) = x \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} := e^{\begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \log(x)}$$

Proposition: we consider a singularity x_0 and we look what happens in a neighborhood U .



Example: for the singularity $x_0 = 0$ in the Euler system we have the

solution matrix $\gamma(x) = e^{\begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \log(x)}$

let us consider x close to zero and
the path $\gamma(t) = x e^{2i\pi t}$, $\gamma(0) = \gamma(1) = x$

But $\gamma(\gamma(0)) = e^{\begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \log(x)}$

$$\begin{aligned} \gamma(\gamma(1)) &= e^{\begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \log(x e^{2i\pi})} = \\ &= e^{\begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} (\log(x) + 2i\pi)} = \\ &= e^{\begin{pmatrix} 2i\pi\lambda_1 & 0 \\ 0 & 2i\pi\lambda_2 \end{pmatrix}} \gamma(\gamma(0)) \end{aligned}$$

② Poincaré-V connections and the non-compact moduli space

In order to define a moduli space,
we need a suitable equivalence relation.

Def: Two connections $(\nabla, E) \sim (\nabla', E)$ are
said equivalent if there exists
 $H \in \text{Aut}(E)$ (holomorphic bundle
automorphism)

called
gauge
equivalence

sewiding ∇ -horizontal section into ∇' -horizontal sections.

i.e. if $\nabla Y = 0$, then $\nabla'(HY) = 0$

Fact: a straightforward calculation in coordinates shows that in each open trivialising set it holds that

$$\Omega = H^{-1} \Omega' H + H^{-1} dH$$

Fact: Equivalent connections have conjugated monodromy

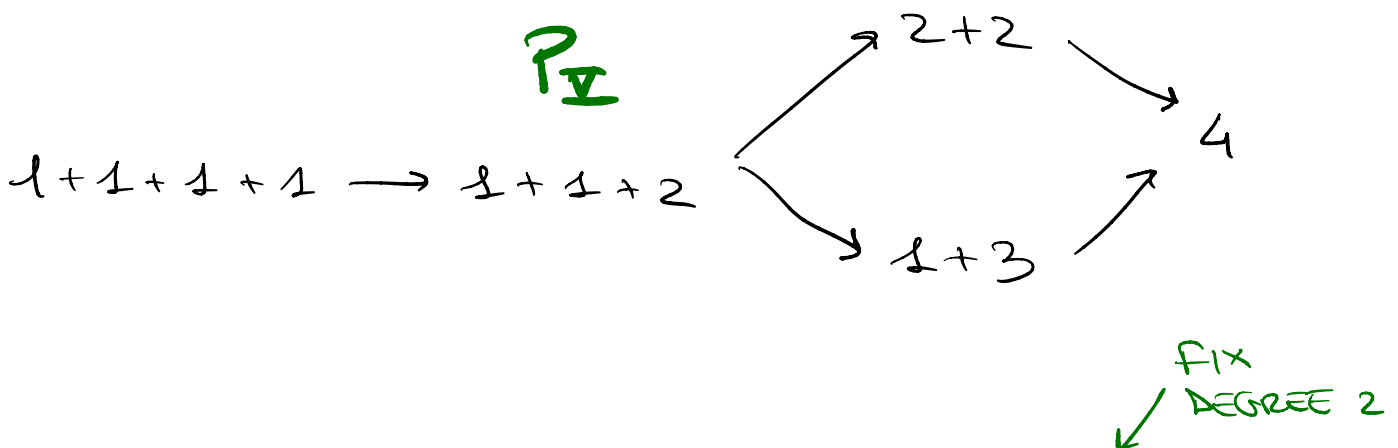
Goal: We want to study equivalent connections for a fixed degree of E ($E \cong \mathcal{O}(k_1) \oplus \mathcal{O}(k_2)$, $\deg E = k_1 + k_2$), fixed number and type of poles and fixed residual spectrum of Ω

technical reasons arising from the Riemann-Hilbert correspondence

Fact under the assumptions above,
all Euler systems are equivalent.
↳ they are "rigid"

Fact: If $\deg D \leq 3$, under the assumptions above, all connections are equivalent.

→ In order to have a positive dimensional moduli space we have to consider $\deg D \geq 4$



Def. A $P_{\mathbb{P}^1}$ connection is $(\nabla, \mathcal{D} \oplus \mathcal{D}(2))$

with polar divisor $D = [0] + 2[1] + [\infty]$

we do not put here the apparent
sing $[q]$ (see below)

Up to equivalence we have that any P_{II} connection can be put in such a normal form:

$$d + \begin{pmatrix} 0 & 1 \\ 0 & t \end{pmatrix} \frac{dx}{(x-1)^2} + \begin{pmatrix} 0 & -1 \\ 0 & k_1 \end{pmatrix} \frac{dx}{x-1} + \begin{pmatrix} 0 & 1 \\ 0 & k_0 \end{pmatrix} \frac{dx}{x} \\ + \begin{pmatrix} 0 & 0 \\ p & -1 \end{pmatrix} \frac{dx}{x-q} + \begin{pmatrix} 0 & 0 \\ p & 0 \end{pmatrix} x dx + \begin{pmatrix} 0 & 0 \\ H & 0 \end{pmatrix} dx$$

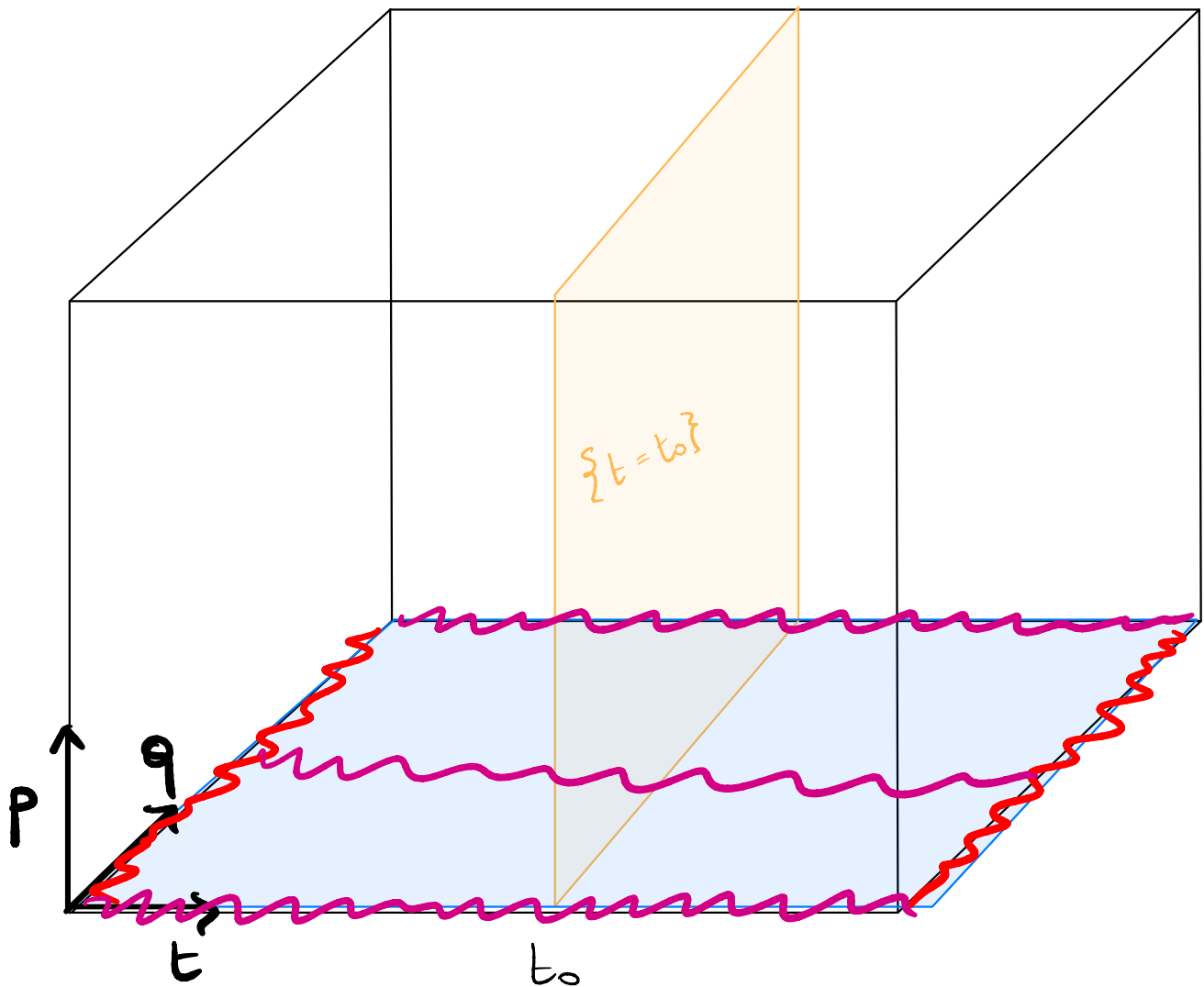
- k_1, k_0, p are residual spectral data (fixed)
- $H = H(t, q, p)$ is a quantity that allows $x = q$ to be apparent singularity
- (t, q, p) are the free parameters and hence the coordinate of the moduli space.

Remark: $x = q$ is a singularity with trivial monodromy $\Rightarrow$ apparent sing

Interpretation of the parameters

- $(1, t)$ is the eigenvector of the $t \in \mathbb{C}^*$ matrix $\begin{pmatrix} 0 & 1 \\ 0 & t \end{pmatrix}$ relative to e.v. t

- $(1, p)$ is the eigenvector of the $p \in \mathbb{C}$ matrix $\begin{pmatrix} 0 & 0 \\ p & -1 \end{pmatrix}$ relative to e.v. -1
- $q \in P' \setminus \{0, 1, \infty\}$ is the position of the opposite singularity



Fact : the "non-compact moduli space" is a trivial line bundle $\mathbb{Q} \times \mathbb{C}$

where $Q := \mathbb{P}_t^1 \times \mathbb{P}_q^1 \setminus \{ \{q=0,1,\infty\}, \{t=0,\infty\} \}$

- PROQ :
- Q comes from the locus where the coordinates t and q lives.
 - the line bundle is trivial since the coordinate p is everywhere well defined. Equivalently, $Q \times \mathbb{C}$ has $p=1$ as a global section.

let us study a vertical section $\{t=t_0\}$.

In order to do that let us observe that a connection $(\nabla, \bar{E})$ induce a differential equation on the projectivised bundle PE .

Recall that $\text{rk } E = 2$ and hence PE is a $\mathbb{P}^1$ -bundle over $\mathbb{P}^1$.

In our case $E \cong \mathcal{O} \oplus \mathcal{O}(2)$ and hence

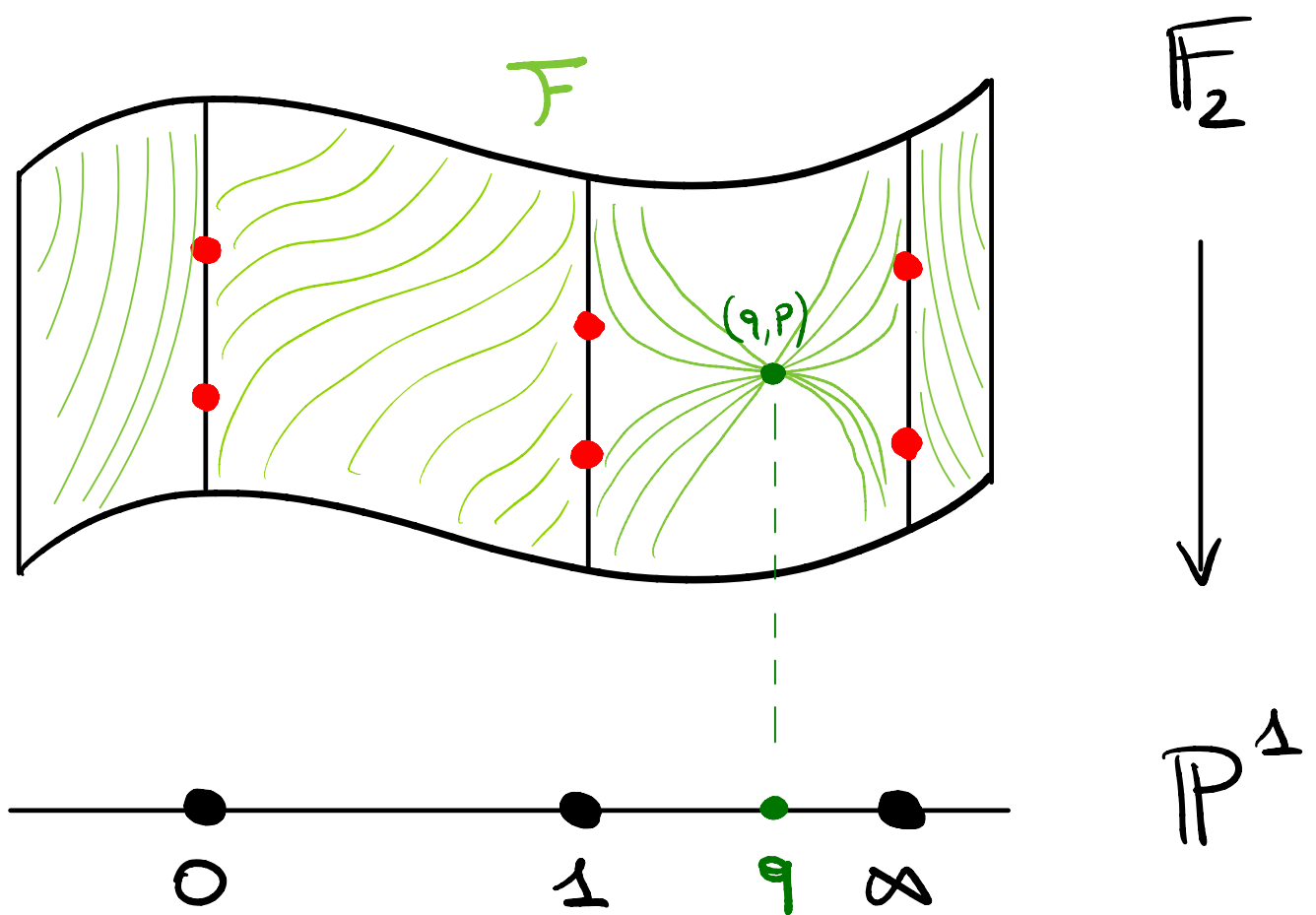
$PE \cong \mathbb{F}_2$ the second Hirzebruch surface.

The **linear** system of ODEs induced by ∇ is well defined in the projectivisation

$$\text{and } \nabla \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = 0 \quad (\Rightarrow) \quad y' + ay^2 + by + c = 0$$

with $y := y_2/y_1$ the projective coordinate,

The solution of the differential equation induce a foliation on $\mathbb{F}_2$:



Where $(q, p) \in F_2$ is the only radial

singularity of the foliation.

RMK: The monodromy of ∇ is the same as the monodromy of the foliation and radial singularities have no monodromy.

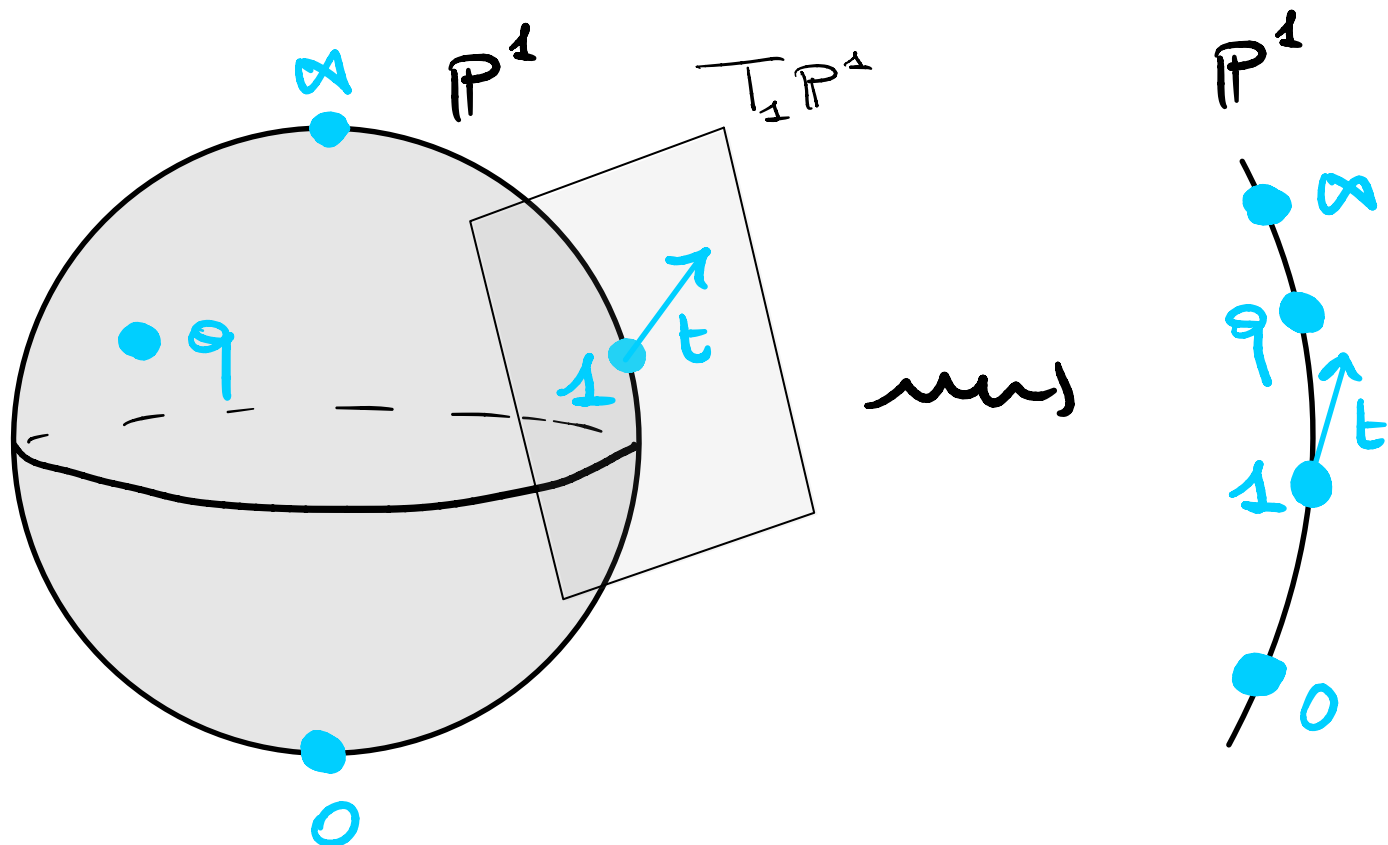
3) Cuspification

We would like to allow $t = 0, \infty$ and $q = 0, 1, \infty$.

To do so, we can use a similar approach to the Deligne - Mumford cuspification of the spaces $\mathcal{M}_{0,n}$ via stable curve.

Looking at the action of any $f \in \text{Aut}(\mathbb{P}^1)$ on the connection, we see that we can think of $t \in T_1 \mathbb{P}^1$.

Hence we want to study stable curves for this model

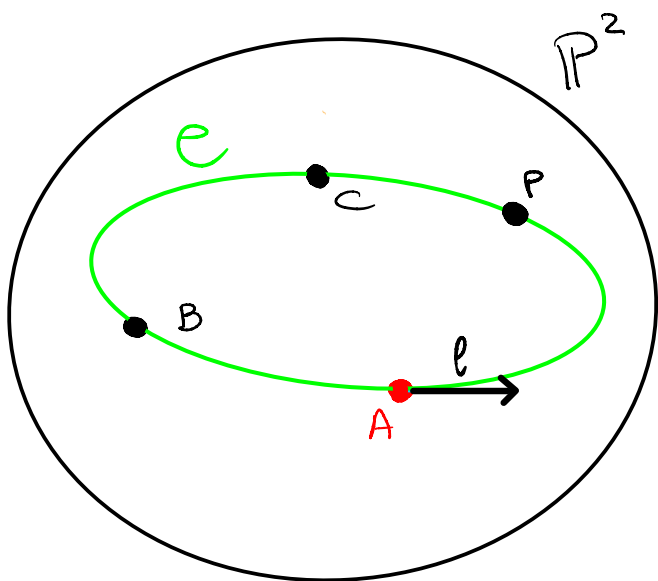


Following the Deligne-Thurston idea,
 We can consider the moduli space of
 rational marked curves in $\mathbb{P}^2$ passing through
 three given points A, B, C in general
 position and having in, for instance,
 A a prescribed tangent direction ℓ
 s.t. ℓ is not parallel to the lines
 $\overline{AB}$ or $\overline{AC}$.

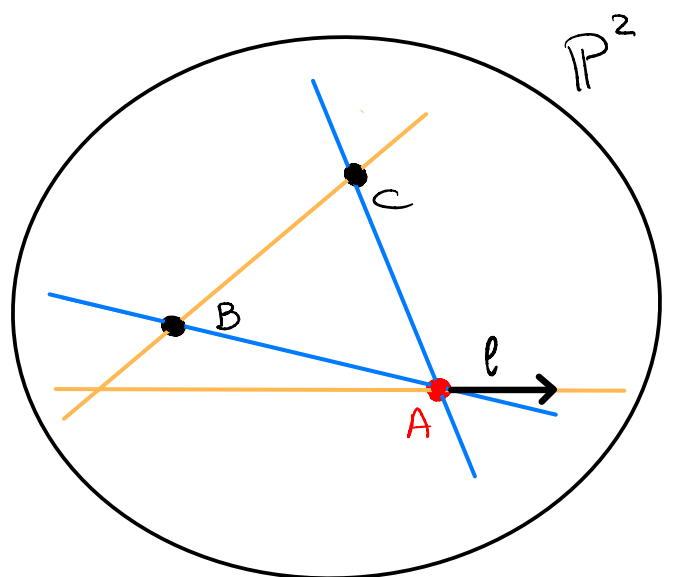
Since it is well known that there exist only one smooth conic passing through four point in general position in $\mathbb{P}^2$ having a prescribed tangent direction at one of them, the moduli space of such conics is

$$\mathbb{P}^2 \setminus \{\overline{AB}, \overline{AC}, \overline{BC}, \ell\}.$$

Indeed, once fixed A, B, C, ℓ the lines $\{\overline{AB}, \overline{AC}, \overline{BC}, \ell\}$ correspond exactly to the points that are not in general position w.r.t. them.



Smooth conic
corresponding
to the point P

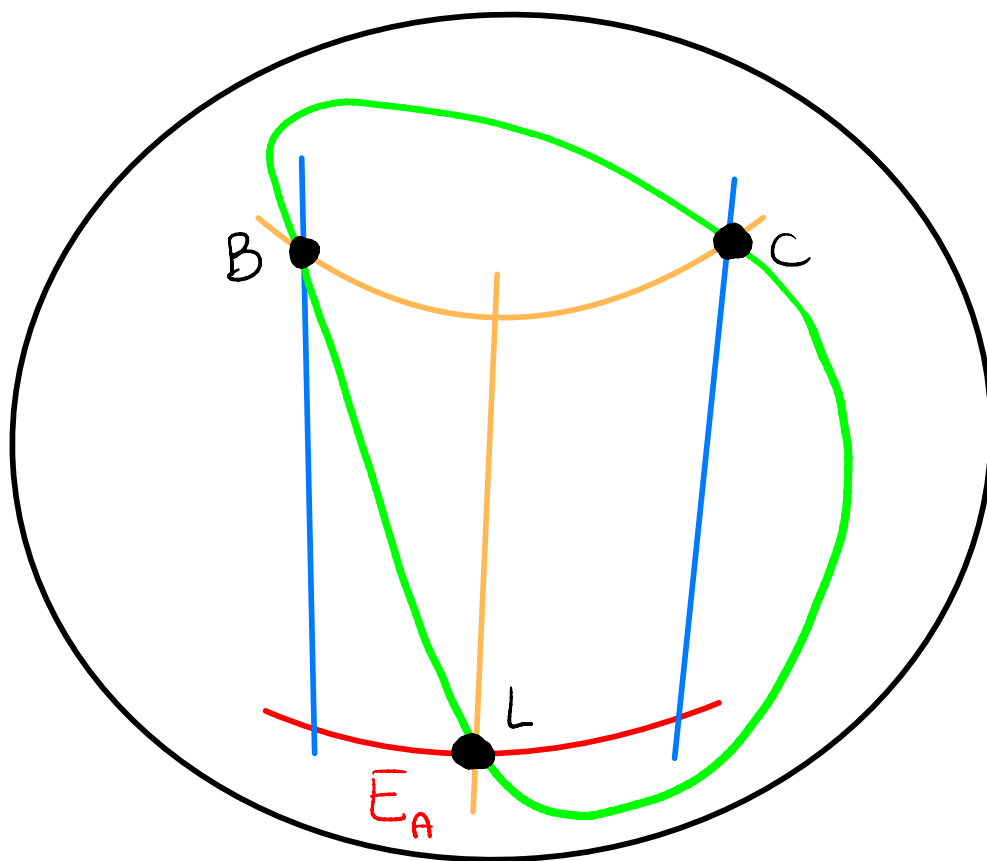


The two
singular conics

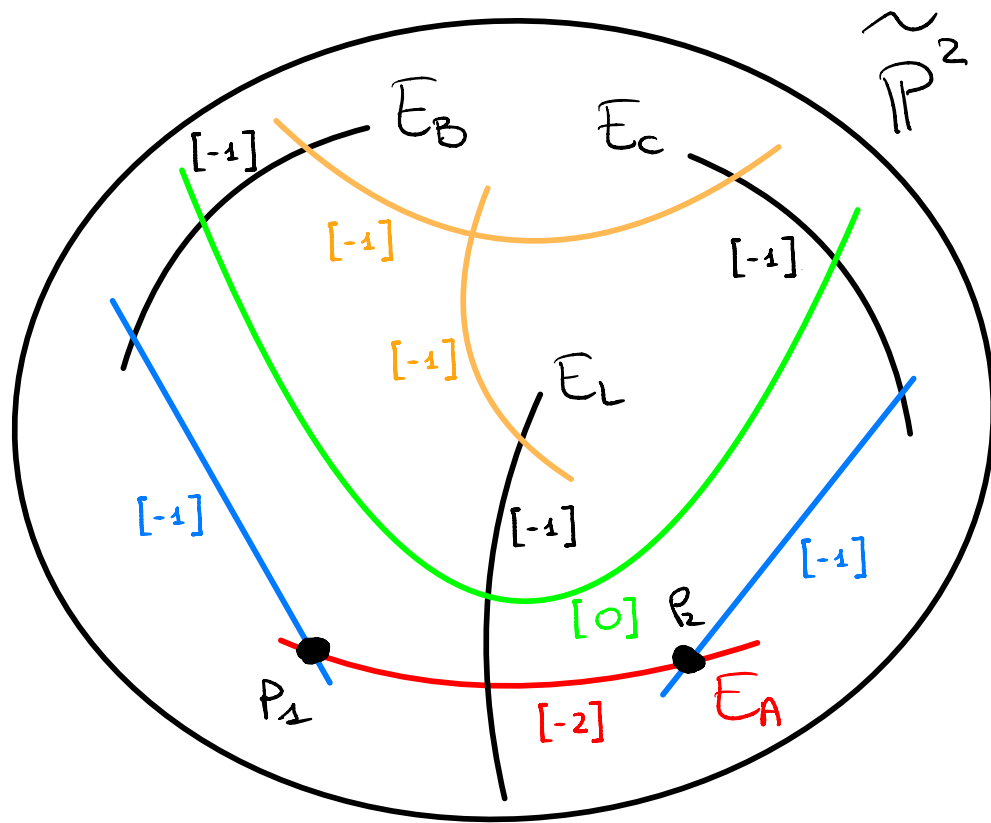
We want to compactify this moduli space. Roughly speaking, we want to see what happens when we choose points on $\{\overline{AB}, \overline{AC}, \overline{BC}, \ell\}$

First step: we can add to $\mathbb{C}$ the points lying on $\overline{AB} = \{A, B\}$, $\overline{AC} = \{A, C\}$, $\overline{CD} = \{C, D\}$, $\overline{AD} = \{A\}$ by considering singular conics.

Second step: we can blow-up the point A . Now we will denote by L the point on the exceptional divisor E_A representing ℓ



Third step: we blow-up the points A, B, L . We denote $\tilde{\mathbb{P}}^2$ the resulting alg. var. and we note for any curve its self-intersection number since we will need it later on.

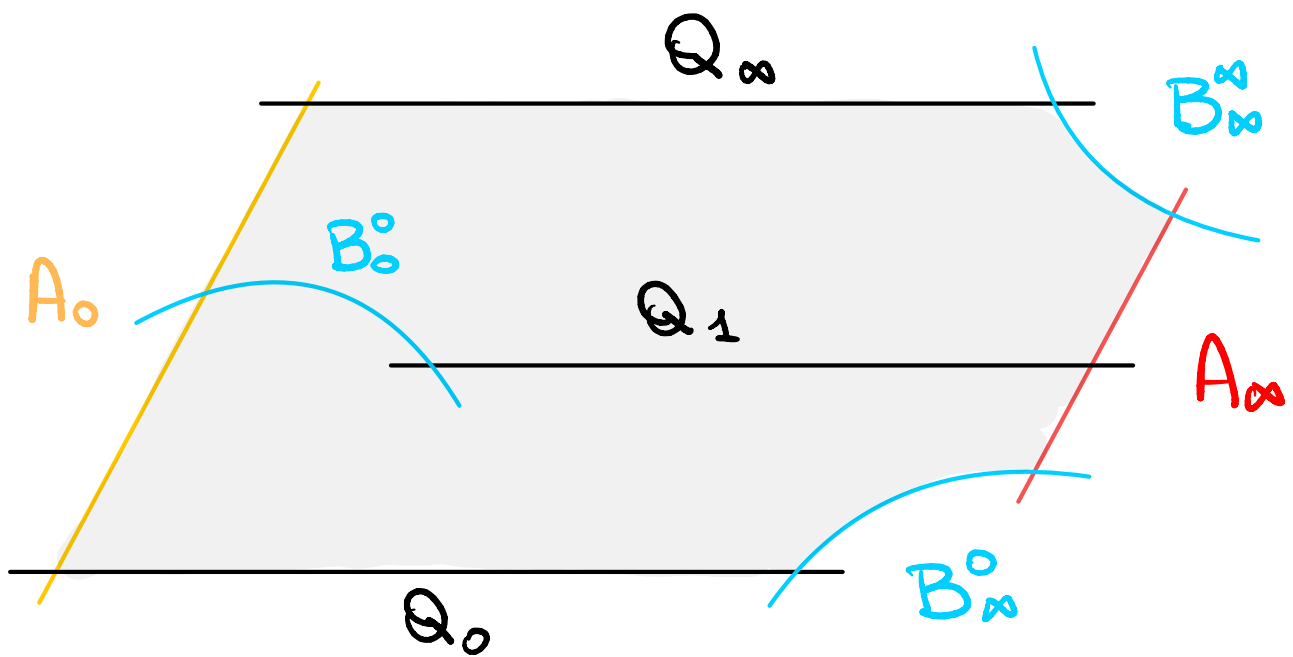


- Facts
- Each point in $(\tilde{\mathbb{P}}^2 \setminus E_A) \cup \{P_1, P_2\}$ defines a conic in $\mathbb{P}^2$ with the properties we settled
 - The conics defined by P_1 and P_2 are isomorphic "by exchanging the two branches"

Consequence : The compact moduli space we want is given by the contraction of E_A in $\tilde{\mathbb{P}}^2$

We want to come back to our original problem :

Fact $\tilde{\mathbb{P}}^2 \cong \text{Bl}_{(0,1), (\infty,0), (\infty,\infty)} \mathbb{P}' \times \mathbb{P}' := \tilde{\mathbb{P}}' \times \mathbb{P}'$



Consequence The compact moduli space $\overline{\mathcal{Q}}$ we want is given by the contraction of A_∞ in $\tilde{\mathbb{P}}' \times \mathbb{P}'$

Recall : The non compact moduli space

is a trivial line bundle over $\mathbb{Q}$.

Goal: Understand how it extends to the compactification $\overline{\mathbb{Q}}$

PROP: The line bundle is

$$\mathcal{O}_{\overline{\mathbb{Q}}}(\mathcal{D})$$

$$\text{for } \mathcal{D} = A_0 + 2Q_0 + B_\infty^0 - B_\infty^\infty$$

